

Practitioner's Docket No.

915-005.098

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kimmo MYLLY

Application No.: 10/813,897 Group No.: 2186

Filed: 3/30/2004 Examiner:

For: A METHOD FOR STORING DATA IN A MEMORY, A SYSTEM, AN ELECTRONIC

DEVICE AND A MEMORY CARD

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

COMPLETION OF FILING REQUIREMENTS - NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

I.

This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed June 15, 2004

NOTE: If these papers are filed before the office letter issues, adequate identification of the original papers should be made, e.g., in addition to the name of the inventor and title of invention, the filing date based on the "Express Mail" procedure, the serial number from the return post card or the attorney's docket number added.

☑ A copy of the Notice to File Missing Parts of Application – Filing Date Granted (Form PTO-1533) is enclosed.

NOTE: The PTO requires that a copy of Form PTO-1533 be returned with the response to the notice to file missing parts to the application.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: <u>Aug. 6</u>, 2004

Deborah J. Clark

(type or print name of person certifying)

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DECLARATION OR OATH

| II. | X | | claration or oath was filed. Enclosed is the original declaration or oath for oplication. | | | | |
|-------|--|---|---|--|--|--|--|
| NOTE: | | If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(without an executed oath or declaration under § 1.63, the later submission of an executed oath declaration under § 1.63 during the pendency of the application will act to correct the earlied identification of inventorship. 37 C.F.R. § 1.48(f)(1). | | | | | |
| | | | OR | | | | |
| | ☐ The declaration or oath that was filed was determined to be defective. A original oath or declaration is attached. | | | | | | |
| | | NOTE: | For surcharge fee for filing declaration after filing date complete item VI(3) below. | | | | |
| | | NOTE: | "The following combinations of information supplied in an oath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 C.F.R. § 1.63: | | | | |
| | | | "(A) application number (consisting of the series code and the serial number, e.g., 08/123,456; | | | | |
| | | | "(B) serial number and filing date; | | | | |
| | | | "(C) attorney docket number which was on the specification as filed; | | | | |
| | | | "(D) title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or | | | | |
| | | • | "(E) title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration." | | | | |
| | | | M.P.E.P. § 601.01(a) 7 th Ed. | | | | |
| | | NOTE: | Another minimum found acceptable in the declaration is the filing date (i.e., date of express mail) and the express mail number, useful where the serial number is not yet known. But note the practice where the express mail deposit is a Saturday, Sunday or holiday within the District of Columbia. 37 C.F.R. § 1.10(c). | | | | |
| | | | (complete (c) or (d), if applicable) | | | | |
| Att | ache | ed is a | | | | | |
| (| c) [| | ement by a registered attorney that the application filed in the PTO is the lication that the inventor executed by signing the declaration. | | | | |
| (| d) [|] Stat | ement that the "attached" specification is a copy of the specification and | | | | |

any amendments thereto that were filed in the PTO to obtain the filing date.

AMENDMENT CANCELLING CLAIMS

□ Cancel claims _____ inclusive.

III.

TRANSMITTAL OF ENGLISH TRANSLATION OF NON-ENGLISH LANGUAGE PAPERS

| IV. | | ap _l the | bmitted herewith is an English translation of the oblication papers as originally filed. Also submitted here translator of the accuracy of the translation. It inslation be used as the copy for examination purposes | ewith is a statement by s requested that this |
|------|------|------------------------|---|--|
| NOTE | : F | or fe | e processing a non-English application, complete item VI(5) below. | |
| NOTE | | non- .69(b | English oath or declaration in the form provided by the PTO need no.). | ot be translated. 37 C.F.R. § |
| | | | SMALL ENTITY STATUS | |
| V. | | A s | statement that this filing is by a small entity | |
| | | | (check and complete applicable items) | |
| | | | is attached. | |
| | | | ☐ A separate refund request accompanies this paper | er. |
| | | | was filed on (original). | |
| | | | COMPLETION FEES | |
| VI. | | | | |
| WA | RNIN | IG: | Failure to submit the surcharge fees where required will cause the abandoned. 37 C.F.R. § 1.53. | application to become |
| NO | TE: | For 1.2 | effect on fees of failure to establish status, or change status, as a sr B(a). | mall entity, see 37 C.F.R. § |
| 1. | Fili | Filing fee | | |
| | X | | ginal patent application C.F.R. § 1.16(a) - \$770.00; small entity - \$385.00) | \$770.00 |
| | | | sign application C.F.R. § 1.16(f) - \$340.00; small entity - \$170.00) | \$ |
| | | | | \$ |
| 2. | Fee | es fo | or claims | |
| | X | | ch independent claim in excess of 3 C.F.R. § 1.16(b) - \$86.00; small entity - \$43.00) | \$86.00 |
| | X | | ch claim in excess of 20 C.F.R. § 1.16(c) - \$18.00; small entity - \$9.00) | \$36.00 |
| | | | ltiple dependent claim(s) C.F.R. § 1.16(d) - \$290.00; small entity - \$145.00) | \$ |

(Completion of Filing Requirements – Nonprovisional Application [5-1] – page 3 of 6)

| 3. | Sur | Surcharge Fees | | | | | |
|---|-------------|---|-------------|---|--|--|--|
| | X | late payment of C.F.R. § 1.16(e | | | ling of original decla | aration or oath (37 \$130.00 | |
| NOTE: | | ven where a facsim apers, the surcharge | | | ed by the inventor(s) wa | as part of the originally filed | |
| NOTE: | uı | nder § C.F.R. § 1.10 | 6(e) is tha | t only one surcha | missing from the originarge Fee need be paid warwards at the same time | al papers, the Office practice hether the later filed oath or or at different times. | |
| 4. | | Petition and fed inventors or a p (37 C.F.R. §§ 1 | erson n | ot the inventor | | \$ | |
| | | Fee for proces specification in (37 C.F.R. §§ 1 | a non-E | inglish languag | je | \$ | |
| | | Fee for process (37 C.F.R. §§ 1 | | | | \$ | |
| | | Assignment (SSHEET".) | See "A | SSIGNMENT | COVER | \$ | |
| NOTE: 37 C.F.R. § 1.21(I) establishes a fee for processing and retaining any application which is abandor for failing to complete the application pursuant to 37 C.F.R. § 1.53(f) and this, as well as the chang to 37 C.F.R. §§ 1.53 and 1.78, indicate that in order to obtain the benefit of a prior U.S. application either the basic filing fee or the processing and retention fee of § 1.21(I) within 1 year of notification under § 1.53(f) must be paid. | | | | | this, as well as the changes t of a prior U.S. application. | | |
| | | | 7 | Total completio | n fees | \$ <u>1,022.00</u> | |
| | | | | EXTENSION (| OF TIME | | |
| VII. | | | | | | | |
| | | | (comp | olete (a) or (b), | as applicable) | | |
| The 1.136 | | | are for | a patent applic | cation, and the provi | sions of 37 C.F.R. § | |
| (a) | | | | | time, the fees for w umber of months ch | hich are set out in 37 ecked below: | |
| | | ension onths) | F - | ee for other the small entity | | Fee for small entity | |
| | two thre | month months e months months | | \$ 110.00 \$ 420.00 \$ 950.00 \$1,480.00 | | \$ 55.00 \$ 210.00 \$ 475.00 \$ 740.00 | |

If an additional extension of time is required, please consider this a petition therefor.

Fee:

| | | (check and complete the next item, if applicable) |
|------------------|------------|--|
| | | An extension for months has already been secured, and the fee paid therefor of \$ is deducted from the total fee due for the total months of extension now requested. |
| | | Extension fee due with this request \$ |
| | | or |
| (b) | X | Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time. |
| | | TOTAL FEE DUE |
| VIII. | The | e total fee due is |
| | | Completion fee(s) \$ 1,022.00 |
| | | Extension fee (if any) \$ |
| | | Total Fee Due \$ 1,022.00 |
| IX. | | PAYMENT OF FEES |
| | × | Enclosed is a check in the amount of \$ 1,022.00 |
| | ⊠ <i>A</i> | Authorization is hereby made to charge the amount of \$\frac{\text{any deficiency}}{\text{Deposit Account No. 23-0442}}\$ to credit card as shown on the attached credit card information . authorization Form PTO-2038. |
| WA | RNIN | G: Credit card information should not be included on this form as it may become public. |
| | | Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. |
| | | A duplicate of this request is attached. |
| | | AUTHORIZATION TO CHARGE ADDITIONAL FEES |
| X. <i>WAI</i> | RNIN | IG: Accurately count claims, especially multiple dependant claims, to avoid unexpected high charges if extra claims are authorized. |
| NOT | E: | "Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a). |
| | X | The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 23-0442 |
| | | □ 37 C.F.R. § 1.16(a), (f) or (g) (filling fees) |
| | | ☐ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims) |
| NO7 | E: | Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action. |

(Completion of Filing Requirements – Nonprovisional Application [5-1] – page 5 of 6)

- 37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))
- ☐ 37 C.F.R. § 1.17 (application processing fees)

NOTE: "A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under § 1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of the fee set forth in § 1.17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. § 1.136(a)(3).

- ☐ 37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))
- NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 C.F.R. § 1.311(b).
- NOTE: 37 C.F.R. § 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying, or at the time of paying...issue fee..." From the wording of 37 C.F.R. § 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

Reg. No. 31,391

Francis J. Maguire (type or print name of practitioner)

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Customer No. 004955

tates Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addres: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/813,897

ADOLPHSON, LLP

MONROE, CT 06468

WARE FRESSOLA VAN DER SLUYS &

BRADFORD GREEN BUILDING 5 755 MAIN STREET, P O BOX 224 03/30/2004

Kimmo Mylly

915-005.098

CONFIRMATION NO. 5044

FORMALITIES LETTER

QC000000012945837

Date Mailed: 06/15/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/10/2004 MBIZUNES 00000015 10813897

004955

01 FC:1001 02 FC:1051 03 FC:1202 04 FC:1201

770.00 OP 130.00 OP 36.00 OP

86.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$122 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1022 for a Large Entity

\$770 Statutory basic filing fee.

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$122
 - \$86 for 1 independent claims over 3.
 - **\$36** for **2** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE